



**JOINT ACCOUNT APPLICATION FORM**

Washington Prime Plus Inc. must receive the following in addition to the Trading Agreement

- 1) One form of photo identification from each account holder (i.e. Driver's license, Passport)
- 2) Proof of residential address that is represented on account application (i.e. Utility bill, bank statement)

**Select the Contract Type**

- 10K (1 lot equals 10,000units)
- 100K (1 lot equals 100,000units)

If you do not select a Contract Type, the Contract Type assigned to your account will be a 100.000 contract.

**Select Your Account Leverage**

Trading Leverage	Equivalent Margin
<input type="checkbox"/> 50:1	2%
<input type="checkbox"/> 100:1	1%
<input type="checkbox"/> 200:1	0.50%

If you do not select an Account Leverage, the Leverage for your account will be assigned as 100:1

**Primary Owner:**

Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_  
 Date of Birth: \_\_\_\_\_ Gender:  Male  Female - Marital Status:  Single  Married  
 Address 1: \_\_\_\_\_  
 Apartment/Suite: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_ Province: \_\_\_\_\_  
 Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Soc. Sec number: \_\_\_\_\_  
 Citizenship: U.S. Citizen  Yes  No If no, which nationality? \_\_\_\_\_  
 Primary E-mail: \_\_\_\_\_ Secondary E-mail: \_\_\_\_\_  
 (Please print clearly as your e-mail address on this Account Application Form will be the medium used to contact you)

**Employment Information**

Business Name\_ \_\_\_\_\_ Business Type: \_\_\_\_\_  
 Address 1: \_\_\_\_\_  
 Apartment/Suite: \_\_\_\_\_ City: \_\_\_\_\_  
 State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_ Province: \_\_\_\_\_  
 Telephone: \_\_\_\_\_ Ext: \_\_\_\_\_ Fax : \_\_\_\_\_  
 Tax I'D: \_\_\_\_\_  
 Primary E-mail: \_\_\_\_\_  
 Washington Prime Plus asks that you consider the risks associated with levels of leverage greater than 100:1. A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit, this may work against you as well as for you. You may sustain a total loss of initial margin and you may be required to

Washington Prime Plus Inc. must receive the following in addition to the Trading Agreement

- 1) One form of photo identification from each account holder (i.e. Driver's license, Passport)
- 2) Proof of residential address that is represented on account application (i.e. Utility bill, bank statement).

**Joint Owner:**

Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_  
 Date of Birth: \_\_\_\_\_ Gender:  Male  Female - Marital Status:  Single  Married  
 Address 1: \_\_\_\_\_  
 Apartment/Suite: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_  
 Country: Province: Telephone: \_\_\_\_\_ Fax \_\_\_\_\_ Soc. Sec number. \_\_\_\_\_  
 Citizenship: U.S. Citizen  Yes  No If no, which nationality? \_\_\_\_\_  
 Primary E-mail: \_\_\_\_\_ Secondary E-mail: \_\_\_\_\_  
 (Please print clearly as your e-mail address on this Account Application Form will be the medium used to contact you)

Authorized Customer's Signature:.....



<b>Employment Information</b>				
Business Name:		Business Type		
Address 1		Apartment/Suite:	City:	State: Zip/Postal Code:
Country:	Province:	Telephone:	Ext:	Fax:
Tax I.D.:				
Primary E-mail:				
The following confidential financial information is needed to open your account.				
All questions MUST be answered.				
<b>Financial Information (please tick)</b>				
<b>a. Annual Income</b>				
<input type="checkbox"/> Less than \$45,000	<input type="checkbox"/> \$45,000 - \$65,000	<input type="checkbox"/> \$65,000 - \$100,000		
<input type="checkbox"/> \$100,000 - \$250,00	<input type="checkbox"/> \$250,000 - \$500,000	<input type="checkbox"/> \$500,000 +		
<b>b. Approximate annual living cost</b>				
<input type="checkbox"/> Less than \$20,000	<input type="checkbox"/> \$20,000 - \$45,000	<input type="checkbox"/> \$45,000 - \$65,000		
<input type="checkbox"/> \$65,000 - \$100,00	<input type="checkbox"/> \$100,000 - \$250,000	<input type="checkbox"/> \$250,000 - \$500,000		
<input type="checkbox"/> \$500,000 +				
<b>c. Approximate Net worth</b>				
<input type="checkbox"/> Less than \$65,000	<input type="checkbox"/> \$65,000 - \$100,000	<input type="checkbox"/> \$100,000 - \$250,000		
<input type="checkbox"/> \$250,000 - \$500,00				
<input type="checkbox"/> \$500,000 +				
<b>Previous Trading Experience</b>				
a. Non-Margined Stocks				
<input type="checkbox"/> NO	<input type="checkbox"/> YES	period from	to	Firm
b. Margined Stocks				
<input type="checkbox"/> NO	<input type="checkbox"/> YES	period from	to	Firm
c. Futures, Options and other Derivatives				
<input type="checkbox"/> NO	<input type="checkbox"/> YES	period from	to	Firm
d. Spot & Forward Foreign exchange				
<input type="checkbox"/> NO	<input type="checkbox"/> YES	period from	to	Firm
<b>General Disclosure</b>				
a. Do you have pending litigation, disputed accounts or other unresolved matters with other commodity or security brokers at this time? if yes, please briefly describe.				
<input type="checkbox"/> NO <input type="checkbox"/> YES				
b. Does this account or parties thereto control or have financial interest in the trading of any other accounts this firm? If yes, name and number of other accounts.				
<input type="checkbox"/> NO <input type="checkbox"/> YES				
c. Are you/have you during the past 3 years been a partner, officer or employee of any commodity house or the same of an Exchange, Board of Trade, contract market or clearing organization?				
If yes, please give a brief description.				
<input type="checkbox"/> NO <input type="checkbox"/> YES				
d. Are you a commodity house or member of any Self-Regulatory Organization, Exchange, Board of Trade contract market or clearing organization. If yes, please give a brief description.				
<input type="checkbox"/> NO <input type="checkbox"/> YES				
e. Have you ever been subject to bankruptcy/insolvency proceedings? if yes, please give brief description.				
<input type="checkbox"/> NO <input type="checkbox"/> YES				
f. Have you ever been involved/convicted in/for money laundering, drug dealing, kidnapping or any other relevant criminal activity?				
<input type="checkbox"/> NO <input type="checkbox"/> YES				

Authorized Customer's Signature:.....



**Initial Deposit Amount with Washington Prime Plus Inc.**

Amount in USD .Amount in other currency

Which account type would you prefer to start your actual trading with Washington Prime Plus Inc.? Please print. (MINI OR REGULAR)

What type of leverage would you prefer trading your account? Please print. (1/20- 1/50-1/100 1/200)

Who introduced you to Washington Prime Plus Inc..?

Introducing Broker: Phone no:

Other: Phone no:

**Accuracy of Information and Consent**

The information disclosed above is true and accurate and I/we (Customer) will promptly notify you in writing if any of the representations materially change or cease to be true and accurate.

Name & Signature of the primary applicant: Place & Date:

Name & Signature of the secondary applicant : Place & Date:

**PRIVACY POLICY NOTIFICATION**

Washington Prime Plus collects information about customers provided on account documents and any other forms delivered to customers. Washington Prime Plus will not disclose any nonpublic personal information about current or former customers to anyone, except as permitted by law. As its internal security procedure, Washington Prime Plus restricts access to customers' nonpublic personal information to those officers or employees of Washington Prime Plus who need to know that information to perform services to the customers. In addition, Washington Prime Plus maintains physical, electronic and procedural safeguards that comply with federal standards to guard customers' nonpublic personal information.

**MONEY LAUNDERING ABATEMENT AND ANTI-TERRORIST NOTIFICATION**

Under the International Money Laundering Abatement and Anti-Terrorist Financing Act of 2001 (the "Act"), the U.S. Department of Treasury may require Washington Prime Plus to take certain action to avoid account opening and investment(s) by Prohibited Investors (as defined by the Act). If, following an account opening, Washington Prime Plus has reason to believe that Customer is a Prohibited Investor [or has otherwise breached its representations and covenants (hereunder/as to its identity)], Washington Prime Plus may be obligated to freeze Customer's account, either by prohibiting additional investments into the account, declining any redemption requests and / or segregating the assets constituting the investment in accordance with applicable regulations. In such an event, Customer shall have no claim against Washington Prime Plus, its employees, officers, directors, affiliates, or agents for any form of damages that result from any of the aforementioned actions.

I, the undersigned, represent and covenant that neither myself, nor any person controlling, controlled by, or under common control with, my account at Washington Prime Plus, nor any person having a beneficial interest in it, is a Prohibited Investor, and that I am not investing and will not invest on behalf of or for the benefit of any Prohibited Investor.

**NOTIFICATION OF REVOCABLE CONSENT TO RECEIVE ELECTRONIC STATEMENTS AND CONFIRMATIONS**

By signing below, Customer hereby consents to having monthly account statements, trade confirmations, and statements of close out of open positions required under Regulations, sent electronically, via the Internet. Customer acknowledges that its consent to receive the foregoing Notices electronically remains in effect indefinitely, subject to the Customer's right to revoke said consent at any time.

**Accuracy of Information and Consent**

The information disclosed above is true and accurate and I/we (Customer) will promptly notify you in writing if any of the representations materially change or cease to be true and accurate.

Authorized Signature.

Print Name Date

Authorized Customer's Signature:.....